

Synthesis of Tricyclic Nitrogen Heterocycles by a Sequence of Palladium-Catalyzed N–H and C(sp³)–H Arylations

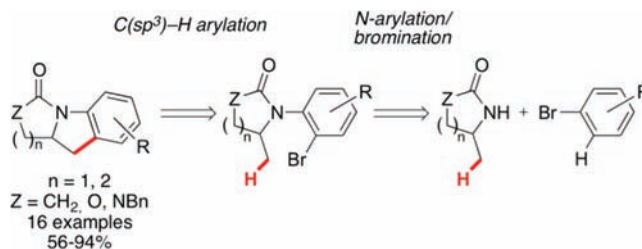
Mathieu Guyonnet and Olivier Baudoin*

CNRS UMR 5246 - Institut de Chimie et Biochimie Moléculaires et Supramoléculaires,
Université Claude Bernard Lyon 1, CPE Lyon, 43 Boulevard du 11 Novembre 1918,
69622 Villeurbanne, France

olivier.baudoin@univ-lyon1.fr

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ABSTRACT



A range of tricyclic nitrogen heterocycles were synthesized in a straightforward and efficient manner via a sequence involving palladium-catalyzed N-arylation and C(sp³)–H arylation as the key steps. Whereas the C(sp³)–H arylation furnished fused 6,5,6-membered ring systems efficiently, the formation of the more strained 6,5,5-membered systems proved to be more challenging and required a subtle adjustment of the reaction conditions.

In recent years, transition-metal-catalyzed C–H bond functionalization has emerged as a powerful tool to transform otherwise unreactive C–H bonds into carbon–carbon or carbon–heteroatom bonds.^{1,2} In particular, this approach has been utilized to construct³ and functionalize⁴ heterocyclic systems, which have broad interest for the synthesis of bioactive molecules and organic materials. Most existing C–H functionalization methods employed to assemble heterocycles involve the cleavage of C(sp²)–H bonds, and in comparison much less effort has been devoted to methods involving the somewhat more challenging

activation of nonacidic C(sp³)–H bonds.^{5–7} Inspired by former work on the synthesis of indolines by palladium(0)-catalyzed C(sp³)–H arylation,^{6f,k} we envisioned that a similar strategy could be utilized to access fused tricyclic

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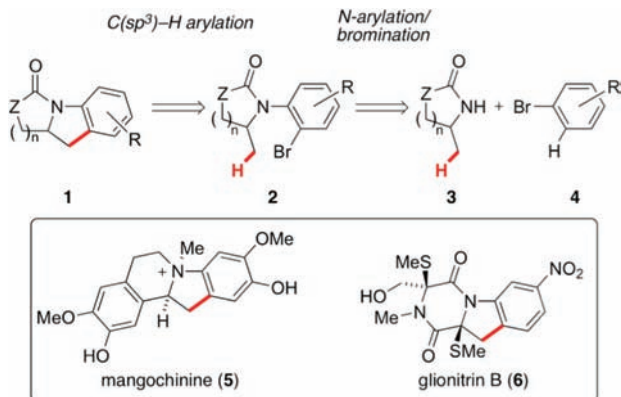
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γ - and δ -lactams **1** ($Z = \text{CH}_2$) and analogues from aryl bromides **2** (Scheme 1). An efficient synthesis of these rather unique heterocycles⁸ should streamline access to bioactive alkaloids such as mangochinine (**5**)⁹ and glionitrin B (**6**).¹⁰ In turn, aryl bromides **2** would be obtained by a Buchwald–Hartwig *N*-arylation/electrophilic bromination sequence, thereby securing a straightforward and modular synthesis of target lactams **1**.

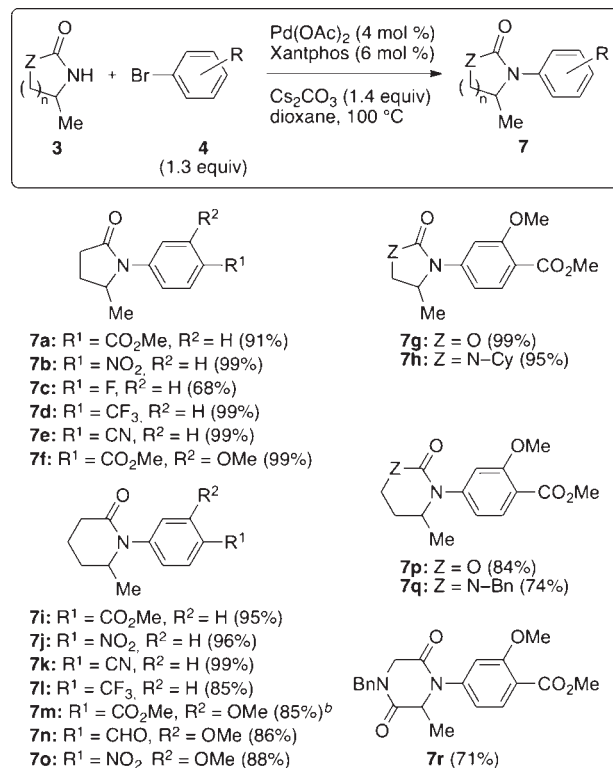
Scheme 1. Retrosynthetic Analysis



We began our study with the exploration of the first key *N*-arylation step (Scheme 2). The Pd-catalyzed *N*-arylation of γ -lactams has been reported to occur with high yields.¹¹ In line with these data, *N*-arylated γ -lactams **7a–f** bearing electron-withdrawing substituents on the benzene ring were obtained in good-to-excellent yield (68–99%) by using Pd(OAc)₂/Xantphos as the catalyst.¹² Similarly to γ -lactams, analogous oxazolidinone ($Z = \text{O}$) and imidazolidinone ($Z = \text{NCy}$) also underwent *N*-arylation efficiently (**7g,h**), in line with literature precedents.¹³ In contrast, δ -lactams have been shown to be less reactive than γ -lactams, and as a consequence the Pd-catalyzed *N*-arylation of the former has been much less developed.^{11a} Nevertheless, the above reaction conditions were found to be also applicable to δ -lactams (**7i–o**) as well as analogous 6-membered cyclic carbamate (**7p**), urea (**7q**), and diketo-piperazine (**7r**). Indeed, high yields of the corresponding

N-arylated products were obtained, provided that electron-deficient aryl bromides were used as coupling partners.¹⁴ However, the *N*-arylation of the corresponding ϵ -lactam (**3** with $n = 3$) failed under the same conditions.

Scheme 2. Synthesis of *N*-Arylated Lactams and Analogues^a



^aYield of the isolated products in parentheses.

^bObtained under microwave heating (160 °C).

Following *N*-arylation, the regioselective bromination of compounds **7a–r** was performed, giving rise to the corresponding aryl bromides **2a–r** in 73–97% yield by using either Br₂/AgNO₃ or *N*-bromosuccinimide (see the Supporting Information for details). Next, the second key C(sp³)–H arylation step was investigated. We first applied conditions initially reported by Fagnou et al.^{6d} and then successfully transposed to other heterocyclic systems^{6f,j–l} to aryl bromide **2f** (Table 1, entry 1). Thus, with Pd(OAc)₂/PCy₃ as the catalyst and cesium carbonate/pivalic acid as the base/additive in mesitylene at 140 °C, protodebromination product **7f** was formed with complete conversion. This failure can be ascribed to the excessive strain of the target tricyclic ring system, as compared to previously successful bi- and tricyclic systems.^{6f} Changing the base to potassium carbonate (entry 2) or the solvent to DMF (entry 3) failed to provide any observable trace of desired product **1f**. Interestingly, compound **1f** was formed in small amounts with toluene as the solvent (entry 4). A reexamination of different carbonates with this solvent

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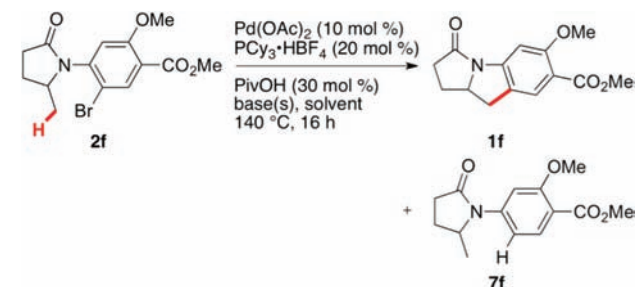
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(12) Aryl substituents R^1 , R^2 were chosen for their ability to direct bromination in *ortho* position to the lactam during the next step.

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(entries 5–8) led to an optimal result with potassium carbonate (entry 6). Moreover, by combining K_2CO_3 with various amounts of Na_2CO_3 (entries 9–12), we found that the selectivity of **1f** vs **7f** could be reversed, with **1f** becoming the major product.

Table 1. Optimization of the Intramolecular $C(sp^3)$ –H Arylation^a



entry	base(s) (equiv)	solvent	conversion ^a (%)	1f : 7f ^a
1	Cs_2CO_3 (2.0)	mesitylene	>99	<1:99
2	K_2CO_3 (2.0)	mesitylene	>99	<1:99
3	Cs_2CO_3 (2.0)	DMF	>99	<1:99
4	Cs_2CO_3 (2.0)	toluene	>99	1:49
5	Rb_2CO_3 (2.0)	toluene	>99	1:11
6	K_2CO_3 (2.0)	toluene	>99	1:1.6
7	Na_2CO_3 (2.0)	toluene	4	1:1
8	Li_2CO_3 (2.0)	toluene	14	<1:99
9	K_2CO_3 (1.3)	toluene	>99	1:2
10	K_2CO_3 (1.3)	toluene	>99	3:1
	Na_2CO_3 (1.3)			
11	K_2CO_3 (1.3)	toluene	>99	2.8:1
	Na_2CO_3 (0.9)			
12	K_2CO_3 (1.3)	toluene	>99	2.7:1
	Na_2CO_3 (0.3)			
13	K_2CO_3 (1.3)	toluene	>99	3:1 (86%)^b
	Na_2CO_3 (0.3)			

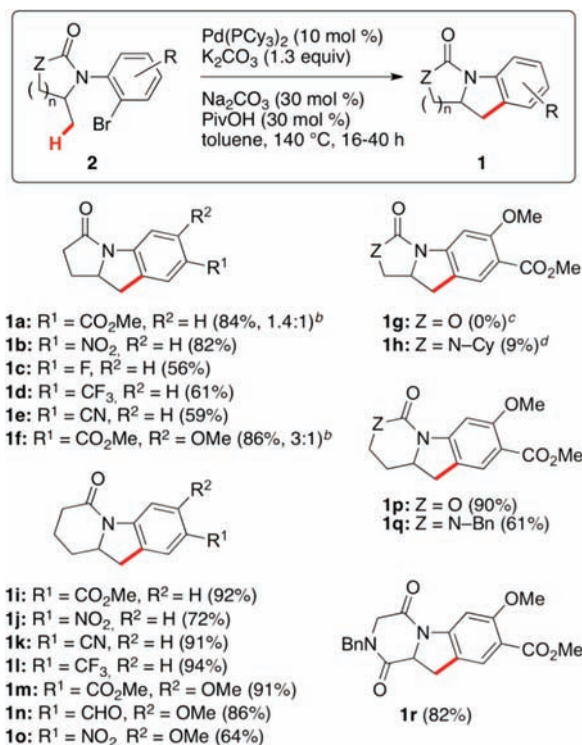
^a Conversion of **2f** and ratio of **1f**:**7f** determined by ¹H NMR and GCMS analysis of the crude mixture. ^b Pd(PCy₃)₂ was used instead of the Pd(OAc)₂/PCy₃·HBF₄ mixture. Yield of the isolated mixture in parentheses.

In related reactions, the $C(sp^3)$ –H activation step was shown to occur through the base-induced, concerted metalation–deprotonation (CMD) mechanism.^{6d,j,k,15} The beneficial effect of the combination of K_2CO_3 and Na_2CO_3 highlights the impact of the base countercation, lying in the second coordination sphere of Pd, in such mechanism. Finally, more reproducible results were obtained when the well-defined Pd(PCy₃)₂ complex was employed instead of the in situ mixture of Pd(OAc)₂ and phosphine ligand (entry 13). Under these conditions, an inseparable mixture of **1f**:**7f** (3:1) was isolated in 86% combined yield. The above results show that, for difficult cases of $C(sp^3)$ –H arylation, subtle adjustments of the solvent and base must

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be performed to form the desired product at the expense of the competitive protodebromination product.

Scheme 3. Synthesis of Fused Tricyclic Lactams and Analogues^a



^a Yield of the isolated products in parentheses.

^b Yield and ratio of the mixture of desired:protodebromination products.

^c Protodebromination and degradation were observed by GCMS analysis.

^d 85% of the starting material was recovered after 48 h.

The optimized conditions were then applied to various aryl bromides obtained by the *N*-arylation/electrophilic bromination sequence (Scheme 3). In line with the above results, fused γ -lactams **1a–f** were obtained in moderate-to-good yields together with the corresponding protodebromination products, that could be separated in most instances (**1b–e**). Unfortunately, the more rigid oxazolidinone **2g** and imidazolidinone **2h** failed to undergo intramolecular $C(sp^3)$ –H arylation under the same conditions. In contrast, the reaction of the less rigid δ -lactams **2i–o**, oxazinanone **2p** and tetrahydropyrimidone **2q** provided the corresponding tricyclic products **1i–q** in good-to-excellent yields. In addition, original fused diketopiperazine **1r** was also obtained efficiently by this method.^{10,16}

The X-ray crystal structures of representative target molecules **1m** and **1r** were elucidated (Figure 1), showing that these compounds exhibit a relatively similar rigid tricyclic scaffold.

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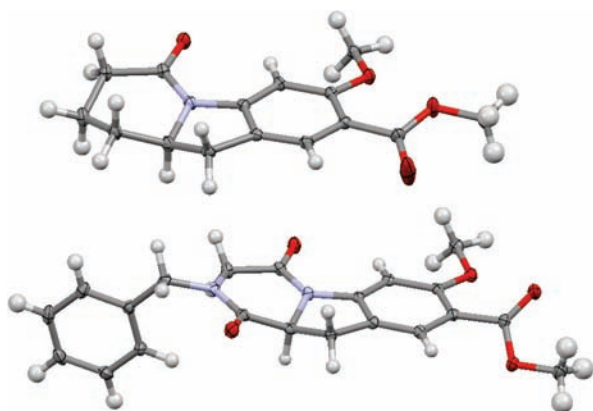


Figure 1. X-ray crystal structure of compounds **1m** (top) and **1r** (bottom) (30% probability ellipsoids plot).

In conclusion, we have described a straightforward strategy to synthesize original fused tricyclic nitrogen

heterocycles by using Pd-catalyzed *N*-arylation and C(sp³)-H arylation as the key steps. This work further illustrates the impact of emerging C-H functionalization methods on the synthesis of new interesting molecular scaffolds.

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Supporting Information Available. Full characterization of all new compounds, detailed experimental procedures, copies of NMR spectra for target molecules, and X-ray crystal structure data (CIF) for compounds **1m** and **1r**. This material is available free of charge via the Internet at <http://pubs.acs.org>.